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**F A X C O V E R**

Date November 28, 2001 Number of pages (including cover): 9  
To Technology Center 2800, Before Final  
Applicant Kevin J. Dowling, et al.  
Serial No: 09/923,223  
Filing Date: August 6, 2001  
Title: ULTRAVIOLET LIGHT EMITTING DIODE SYSTEMS AND METHODS  
Fax Number: 1-703-872-9318  
Number of Pages (including Cover Sheet): 9

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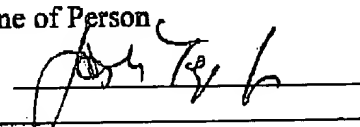
I hereby certify that a Preliminary Amendment and Transmittal Letter in the above-referenced application (Total of 9 pages including cover sheet) is/are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Joseph Teja, Jr.

Typed or Printed Name of Person

Date: November 28, 2001

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Attorney's Docket No.: C01104/70076

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.  
Serial No: 09/923,223  
Filed: August 6, 2001  
For: ULTRAVIOLET LIGHT EMITTING DIODE SYSTEMS AND METHODS  
  
Examiner: Unassigned  
Art Unit: 2811

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Before Final, fax number 1-703-872-9318, on the 28th day of November, 2001.

Joseph Teja, Jr., Reg. No. : 45,157

Commissioner For Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith is/are the following document(s):

- ☒ Preliminary Amendment
- ☒ Facsimile Cover Sheet

If the enclosed papers are considered incomplete, the Technology Center receiving the enclosures is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
Kevin J. Dowling, et al.,  
Applicant(s)

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Joseph Teja, Jr., Reg. No.: 45,157  
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Attorneys for Applicant(s)

TECHNOLOGY CENTER 2800

Docket No. C01104/70076  
Date: November 28, 2001

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ATTORNEY'S DOCKET NO: C01104/70076

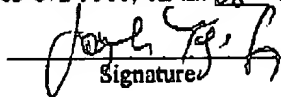
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Signature

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

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PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please enter the following amendments in the above-identified application:

IN THE SPECIFICATION

Please replace the paragraph beginning on line 3 of page 1 with the following paragraphs as indicated below. A marked-up version of these paragraphs with all changes made by the current amendment shown using bolded bracketing and underlining is attached hereto as the pages captioned "Version With Markings to Show Changes Made".

-- Related Applications

This Application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Applications serial number 60/235,678, filed September 27, 2000, entitled "Ultraviolet Light Emitting Diode Device" and serial number 60/222,847, filed August 4, 2000, entitled "Ultraviolet Light Emitting Diode Device."

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